1 2 3 4	BERNSTEIN LITOWITZ BERGER & GROSSMANN LLP JONATHAN D. USLANER (Bar No. 188574) (jonathanu@blbglaw.com) 2121 Avenue of the Stars, Suite 2575 Los Angeles, CA 90067 Tel: (310) 819-3472	
5 6 7 8 9 10 11 12	SALVATORE J. GRAZIANO (pro hac vice) (salvatore@blbglaw.com) JEROEN VAN KWAWEGEN (pro hac vice) (jeroen@blbglaw.com) JEREMY P. ROBINSON (pro hac vice) (jeremy@blbglaw.com) REBECCA E. BOON (pro hac vice) (rebecca.boon@blbglaw.com) R. RYAN DYKHOUSE (pro hac vice) (Ryan.Dykhouse@blbglaw.com) 1251 Avenue of the Americas New York, NY 10020 Tel: (212) 554-1400 Counsel for Plaintiff	
13	SEB Investment Management AB UNITED STATES D NORTHERN DISTRIC	
115 116 117 118 119 120 121 122 1	SEB INVESTMENT MANAGEMENT AB, individually and on behalf of all others similarly situated, Plaintiffs, v. SYMANTEC CORPORATION and GREGORY S. CLARK, Defendants.	Case No. 3:18-cv-02902-WHA ECF CASE DECLARATION OF ERIC J. MILLER REGARDING SUPPLEMENTAL CLASS NOTICE AND REPORT ON REQUESTS FOR EXCLUSION RECEIVED Dept.: Courtroom 12, 19th Floor Judge: Honorable William Alsup
23 24 25 26		

DECLARATION OF ERIC J. MILLER REGARDING SUPPLEMENTAL CLASS NOTICE AND EXCLUSIONS, No. 3:18-cv-02902-WHA

I, ERIC J. MILLER, hereby declare under penalty of perjury as follows:

- 1. I am a Senior Vice President of A.B. Data, Ltd.'s Class Action Administration Company ("A.B. Data"), whose Corporate Office is located in Milwaukee, Wisconsin. The following statements are based on my personal knowledge and information provided by other A.B. Data employees working under my supervision, and if called on to do so, I could and would testify competently thereto.
- 2. Pursuant to the Stipulation and Order Regarding Dissemination of Class Notice dated May 29, 2020 (the "Notice Order") and the Order Regarding Dissemination of Supplemental Class Notice dated April 24, 2021 ("Supplemental Notice Order"), the Court approved the retention of A.B. Data as the Administrator for class notice for the above-captioned action (the "Action"). I submit this declaration to provide the Court with proof of the mailing of the Court-approved Supplemental Notice of Pendency of Class Action (the "Supplemental Class Notice") and the publication of the Summary Supplemental Notice of Pendency of Class Action (the "Summary Supplemental Class Notice"), and to report on the additional requests for exclusion from the Class received in connection with dissemination of the Supplemental Class Notice.

MAILING OF THE SUPPLEMENTAL CLASS NOTICE

- 3. Pursuant to the Supplemental Notice Order, A.B. Data has disseminated the Supplemental Class Notice to potential Class Members and nominees. A copy of the Supplemental Class Notice is attached to this declaration as Exhibit A.
- 4. On May 7, 2021, A.B. Data mailed a copy of the Supplemental Class Notice to all to all persons and entities identified as potential Class Members in connection with the mailing of the Original Class Notice in 2020, as well as to AB Data's database of banks, brokers, and other nominees. Consistent with Paragraph 5 of the Supplemental Notice Order, nominees who were sent the Supplemental Class Notice were also sent a letter explaining that if the nominee had previously submitted names and addresses in connection with the mailing of the Original Class

¹ Unless otherwise defined in this declaration, all capitalized terms have the meanings defined in the Stipulation and Agreement of Settlement dated June 8, 2021 (Dkt. No. 394-1).

Notice, or had previously requested copies of the Original Class Notice in bulk, it did not need to submit that information again, unless it had additional names and addresses to provide, or updated information, or needed a different number of notices.

5. Through July 15, 2021, A.B. Data has mailed a total of 162,865 Supplemental Class Notices to potential members of the Class or their nominees, which includes (i) 126,828 Supplemental Class Notices that were mailed to potential Class Members and nominees in the initial mailing on May 7, 2021; (ii) an additional 14,737 Supplemental Class Notices that were mailed to potential Class Members whose names and addresses were received from individuals, entities, or nominees requesting that the packet be mailed to such persons; and (iii) an additional 21,300 Supplemental Class Notices that were mailed to nominees for forwarding to their customers. In addition, A.B. Data has promptly re-mailed 1,068 Supplemental Class Notices to persons whose original mailings were returned by the U.S. Postal Service ("USPS") as undeliverable and for whom updated addresses were provided to A.B. Data by the USPS.

PUBLICATION OF THE SUMMARY SUPPLEMENTAL CLASS NOTICE

6. Pursuant to the Supplemental Notice Order, A.B. Data caused the Summary Supplemental Class Notice to be published in *The Wall Street Journal* and *The Financial Times* and to be transmitted over the *PR Newswire* on May 18, 2021. Copies of proof of publication of the Summary Supplemental Class Notice in *The Wall Street Journal* and *The Financial Times* and over the *PR Newswire* are attached to this declaration as Exhibits B, C, and D, respectively.

WEBSITE

7. A.B. Data continues to maintain and update the website dedicated to this Action (www.SymantecSecuritiesLitigation.com) to assist Class Members. The website was originally established in June 2020. The website address was set forth in the Supplemental Class Notice and the Summary Supplemental Class Notice. The website lists the updated deadline for requesting exclusion from the Class and contains copies of the Supplemental Class Notice, as well as copies of the Original Class Notice, Supplemental Notice Order, the Complaint, Symantec and Gregory S. Clark's Answers to the Complaint, and the Court's order certifying the Class, among other

documents. A.B. Data will continue operating, maintaining, and updating the case website as 2 appropriate.

1

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

TOLL-FREE TELEPHONE LINE

8. A.B. Data continues to maintain and update the toll-free telephone number for the Action, 1-800-949-0206. The toll-free telephone line connects callers with an Interactive Voice Recording system ("IVR"). The IVR provides callers with pre-recorded information, including a summary of the Action and the option to request a copy of the Supplemental Class Notice. In addition, Monday through Friday from 9 a.m. to 6 p.m. Eastern Time (excluding official holidays), callers to the toll-free telephone line can speak to a live operator regarding the status of the Action and/or obtain answers to questions they may have about the Supplemental Class Notice.

REPORT ON ADDITIONAL REQUESTS FOR EXCLUSION RECEIVED

- 9. The Supplemental Class Notice informed potential Class Members of a second opportunity to request exclusion from the Class, and that if Class Members wished to exclude themselves they must mail a request for exclusion to A.B. Data, postmarked no later than July 2, 2021. As of the date of my Declaration in Support of Lead Plaintiff's Unopposed Motion for Preliminary Approval of Settlement, dated July 6, 2021 (Dkt. No. 394-3), A.B. Data had received 44 requests for exclusion, each with a postmark on or before July 2, 2021. As of the date of this Declaration, 28 additional Requests for Exclusion have been received with a postmark on or before July 2, 2021, and five (5) Requests for Exclusion have been received with postmarks after July 2, 2021.
- 10. A complete list of the 72 Requests for Exclusion received in connection with the Supplemental Class Notice that were postmarked on or before July 2, 2021 is set forth in Exhibit E. A list of the five (5) Requests for Exclusion received that were postmarked after July 2, 2021 is set forth in Exhibit F. Exhibits E and F set forth the names of the persons and entities that submitted a request for exclusion and their city and state. Exhibits E and F do not include any persons or entities who had previously submitted Requests for Exclusion in connection with the Original Class Notice in 2020.

27 28

I declare, under penalty of perjury under the laws of the United States of America, that the foregoing is true and correct to the best of my knowledge.

Executed on July 19, 2021.

Eric J. Miller

EXHIBIT A

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

SEB INVESTMENT MANAGEMENT AB, individually and on behalf of all other similarly situated,

Plaintiffs,

v.

SYMANTEC CORPORATION and GREGORY S. CLARK.

Defendants

SUPPLEMENTAL NOTICE OF PENDENCY OF CLASS ACTION

TO: All persons or entities who purchased or otherwise acquired publicly-traded Symantec Corporation ("Symantec") common stock during the period from May 11, 2017, to August 2, 2018, inclusive (the "Class Period"), and who were damaged thereby (the "Class").

PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY. YOUR RIGHTS MAY BE AFFECTED BY A CLASS ACTION LAWSUIT PENDING IN THIS COURT.

On May 8, 2020, the Court determined that this lawsuit may proceed as a class action pursuant to Rule 23 of the Federal Rules of Civil Procedure. In the Action, Lead Plaintiff alleges that Defendants Symantec (now known as NortonLifeLock Inc.) and Gregory S. Clark defrauded shareholders by manipulating Symantec's financial reports to create the illusion of stronger-than-actual financial performance and outlook for fiscal years 2017 and 2018, and that Defendant Clark engaged in insider trading by selling shares of Symantec common stock during the Class Period while in possession of material, non-public information in violation of federal securities laws. Defendants deny all of Lead Plaintiff's allegations, and deny any wrongdoing or violation of law.

All persons and entities who were identified as potential members of the Class were previously mailed a Notice of Pendency of Class Action dated June 26, 2020 (the "Original Class Notice") during the summer of 2020. If you did not receive the Original Class Notice, a copy is available at www.SymantecSecuritiesLitigation.com or you can obtain one by calling 1-800-949-0026. As set forth in the Original Class Notice, Class Members had the opportunity to request exclusion from the Class if they submitted such a request postmarked by August 25, 2020. If you requested exclusion by the August 25, 2020 deadline, you are not a member of the Class and you do not need to do anything at this time.

In April 2021, the Court ordered that this Supplemental Notice be mailed to all Class Members. This notice is not an expression by the Court of any opinion as to the merits of any of the claims or defenses asserted by either side in the lawsuit.

1. THE COURT'S APRIL 20, 2021 ORDER REQUIRING ADDITIONAL NOTICE TO THE CLASS

On April 20, 2021, the Court entered an Order that required Class Counsel Bernstein Litowitz Berger & Grossmann LLP ("BLBG") and Lead Plaintiff SEB Investment Management AB ("Lead Plaintiff" or "SEB") to provide additional notice to the Class to (a) inform Class Members of the circumstances described in a recent order of the Court dated April 20, 2021, including that Class Counsel hired Hans Ek, the former Deputy Chief Executive Officer

of Lead Plaintiff SEB Investment Management AB; and **(b)** provide Class Members with a second opportunity to request exclusion from the Class. The full text of the Court's order, that sets forth the reasons for requiring additional notice to the Class, is attached as **Schedule A** hereto. Information on how to request exclusion from the Class is set forth in Section 4 below.

2. THE DEFINITION OF THE CLASS

The definition of the Class has not changed since the Original Class Notice. However, for your convenience, the full definition of the Class is set forth again here. By order dated May 8, 2020, the Court certified the following Class of plaintiffs:

All persons and entities who purchased or otherwise acquired publicly-traded Symantec common stock during the period from May 11, 2017, to August 2, 2018, inclusive (the "Class Period"), and who were damaged thereby (the "Class"). The Class includes all persons or entities who purchased Symantec common stock contemporaneously with sales of Symantec common stock made or caused by Defendant Clark during the Class Period.

Excluded from the Class by definition are:

Defendants; members of the immediate family of Defendant Clark; any person who was an officer or director of Symantec; any firm or entity in which any Defendant has or had a controlling interest; any person who participated in the wrongdoing alleged; Defendants' liability insurance carriers; any affiliates, parents, or subsidiaries of Symantec, all Symantec plans that are covered by ERISA; and the legal representatives, heirs, beneficiaries, successors-in interest, or assigns of any excluded person or entity, in their respective capacity as such.

Also excluded from the Class are all persons and entities who requested exclusion by August 25, 2020.

The ruling by the Court certifying the Class does not address the merits of this litigation. Rather, the certification of the Class means only that the ultimate outcome of the Action—whether favorable or unfavorable to the Class or Defendants—will apply in like manner to each Class Member who does not timely elect to be excluded from the Class.

3. How Do You Participate In This Class Action?

If you fall within the definition of the Class set forth above and did not previously request exclusion, you are a member of the Class. IF YOU WISH TO REMAIN A MEMBER OF THE CLASS, YOU DO NOT NEED TO DO ANYTHING AT THIS TIME. As a Class Member, you will be bound by any judgment or settlement, whether favorable or unfavorable, in this Action. Thus, you may participate in any monetary settlement or judgment rendered in favor of the Class, and you may submit a Proof of Claim following such a settlement or judgment. No settlement or judgment has occurred at this time. You will necessarily also be bound by any unfavorable judgment which may be rendered in favor of Defendants.

Lead Plaintiff and Class Counsel represent the Class and all of its members. Class Counsel has agreed to pursue this Action on a contingent-fee basis. All attorney's fees and expenses will be payable only out of a recovery by the Class, if any, and will be subject to approval by the Court. Class Members will not have to pay lawyers any additional amounts, and in no event will individual Class Members be obligated to pay any judgment, court costs, or lawyer's fees for participating in this Action. Any Class Member who does not request exclusion from the Class may also enter an appearance through his or her own counsel at his or her own expense.

Members of the Class will be eligible to participate in any recovery that might be obtained in the Action. While this Notice is not intended to suggest any likelihood that members of the Class will recover any money, should there be a recovery, Class Members will be required to submit a Proof of Claim demonstrating their membership in the Class and documenting their sales, purchases, and/or holdings of Symantec common stock, and their resulting damages. For this reason, please be sure to keep all records of your transactions and holdings in Symantec common stock. DO NOT mail them to Class Counsel or the Notice Administrator at this time. No money or benefits are available now and there

is no guarantee that money or benefits will be obtained. If they are, Class Members will be notified regarding how to obtain a share.

4. SECOND OPPORTUNITY TO REQUEST EXCLUSION FROM THE CLASS

The Court has ordered that members of the Class be given a second opportunity to request exclusion from the Class, if they submit such a request *postmarked by no later than July 2, 2021*. PLEASE NOTE: if you previously requested exclusion from the Class in response to the Original Class Notice, you do NOT need to re-submit your request. A list of the persons and entities who previously requested exclusion from the Class ("List of Previous Opt-Outs") is available at www.SymantecSecuritiesLitigation.com.

If you wish to be excluded from the Class, meaning to opt out of the lawsuit, you must submit a request for exclusion in accordance with the instructions in the next paragraph. If you choose to be excluded: (1) you will NOT be entitled to share in any recovery from any settlement or judgment that may be paid to members of the Class as a result of a trial or other resolution of this lawsuit; (2) you will NOT be bound by any judgment or release entered in this lawsuit; and (3) at your own expense, you MAY pursue any claims that you have by filing your own lawsuit or taking other action.

To exclude yourself from the Class, you must send a letter stating that you "request exclusion from the Class in SEB Investment Management AB v. Symantec Corp., Case No. C 18-02902-WHA." Your request must: (i) state the name, address, and telephone number of the person or entity requesting exclusion, and, in the case of entities, the name and telephone number of the appropriate contact person; and (ii) be signed by the person or entity requesting exclusion or an authorized representative, accompanied by proof of authorization. You must mail your exclusion request, postmarked by no later than July 2, 2021, to the Notice Administrator, A.B. Data, Ltd., at the following address:

Symantec Securities Litigation EXCLUSIONS c/o A.B. Data, Ltd. P.O. Box 173001 Milwaukee, WI 53217

Only request exclusion if you do NOT wish to participate in the Class Action and do NOT wish to share in any potential recovery that the Class may obtain.

AS NOTED ABOVE, IF YOU PREVIOUSLY REQUESTED EXCLUSION FOR THE CLASS, YOU DO NOT NEED TO SUBMIT A SECOND REQUEST. If your name is included in the "List of Previous Opt-Outs," available at www.SymantecSecuritiesLitigation.com, you are already excluded from the Class and you will remain excluded based on your previous submission.

5. How Can You Get More Information?

For more detailed information regarding the matters involved in this litigation, please refer to the papers on file in this Action, which may be inspected, during business hours, at the Office of the Clerk of Court, 450 Golden Gate Ave., San Francisco, CA 94102. In addition, important documents in the case have been posted on the following website: www.SymantecSecuritiesLitigation.com. Inquiries regarding this litigation may be addressed to the following contact information at Class Counsel:

Symantec Securities Litigation blbg@blbglaw.com

BERNSTEIN LITOWITZ BERGER & GROSSMANN LLP

1251 Avenue of the Americas New York, NY 10020 1-800-380-8496

6. CHANGE IN YOUR ADDRESS.

If this notice was mailed to you at an old address, or if you move, please advise the Notice Administrator of your current address so that you can receive any future notice and/or Proof of Claim forms. The Notice Administrator can be reached at *Symantec Securities Litigation*, c/o A.B. Data, Ltd., P.O. Box 173106, Milwaukee, WI 53217. If you are not a member of the Class, you may discard this notice.

7. NOTICE TO BROKERS AND CUSTODIANS.

If you previously provided the names and addresses of persons and entities on whose behalf you purchased or acquired publicly-traded Symantec common stock during the period from May 11, 2017 to August 2, 2018, inclusive, in connection with the Original Class Notice, and (i) those names and addresses remain current and (ii) you have no additional names and addresses for potential Class Members to provide to the Notice Administrator, you need do nothing further at this time. The Notice Administrator will mail a copy of this Supplemental Notice to the beneficial owners whose names and addresses were previously provided in connection with the Class Notice.

If you elected to mail the Original Class Notice directly to beneficial owners, you were advised that you must retain the mailing records for use in connection with any further notices that may be provided in the Action. If you elected this option, the Notice Administrator will forward the same number of Supplemental Notices to you to send to the beneficial owners. You must mail the Supplemental Notices to the beneficial owners no later than June 4, 2021.

If you have additional name and address information, need additional copies of the Supplemental Notices, or have not already provided information regarding persons and entities on whose behalf you purchased or acquired publicly-traded Symantec common stock during the period from May 11, 2017 to August 2, 2018, inclusive, in connection with the Original Class Notice, then, the Court has ordered that you must either: (i) promptly send a list of the names and addresses of such beneficial owners to the Notice Administrator at *Symantec Securities Litigation*, c/o A.B. Data, Ltd., P.O. Box 173106, Milwaukee, WI 53217, in which event the Notice Administrator shall promptly mail the Supplemental Notice to such beneficial owners; or (ii) promptly request from A.B. Data sufficient copies of the Supplemental Notice to forward to all such beneficial owners, which the Nominee shall mail to beneficial owners no later than June 4, 2021. As stated above, if you have already provided this information in connection with the Original Class Notice, unless that information has changed (*e.g.*, the beneficial owner has changed address), it is unnecessary to provide such information again.

Upon full and timely compliance with these directions, nominees who mail the Supplemental Notice to beneficial owners may seek reimbursement of their reasonable expenses actually incurred regarding this Supplement Notice by providing the Notice Administrator with proper documentation supporting the expenses for which reimbursement is sought. Such properly documented expenses incurred by nominees shall be paid by Class Counsel, with any disputes as to the reasonableness or documentation of expenses incurred subject to review by the Court.

Copies of this Notice may also be obtained from <u>www.SymantecSecuritiesLitigation.com</u>, or by calling the Notice Administrator toll free at 1-800-949-0206.

DATED: May 7, 2021.

BY ORDER OF THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA

Schedule A

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

SEB INVESTMENT MANAGEMENT AB, individually and on behalf of all others similarly situated,

No. C 18-02902 WHA

Plaintiff,

V.

ORDER RE CONFLICT DISPUTE

SYMANTEC CORPORATION and GREGORY S. CLARK,

Defendants.

This order resolves a pending question concerning the conduct of class counsel and lead plaintiff and an allegation that they engaged in play to pay, which means, "you hire me as counsel, and I'll make it up to you down the road." Such arrangements are adverse to the interests of the class because class counsel should be selected as the best lawyer for the class.

In this case, SEB Investment Management AB won the role of lead plaintiff. At the lead plaintiff selection hearing, SEB introduced Mr. Hans Ek as the staff member at SEB who would oversee the case if SEB won the job. SEB showcased his experience and abilities. The order appointing SEB said the following about him: "SEB identified Hans Ek, SEB's Deputy Chief Executive Officer, as being the individual in charge of managing its litigation responsibilities. In addition, SEB's in-house legal counsel will be advising Mr. Ek and assisting with overseeing the litigation" (Dkt. No. 88).

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

After SEB won the job, an order required Mr. Ek to interview law firms for the job of class counsel. SEB interviewed several firms but ultimately selected Bernstein, Litowitz, Berger & Grossmann, LLP (BLBG), its existing counsel, even though BLBG asked for a richer fee proposal than others. The Court deferred to lead plaintiff's judgment and appointed BLBG (ibid.).

Twenty-five months went by. Litigation churned forward. Then another law firm, Robbins, Geller, Rudman & Dowd, LLP, on behalf of a class member (Norfolk County Council as Administering Authority of the Norfolk Pension Fund) reported to the Court that Mr. Ek had left SEB and was now working for BLBG.

Upon inquiry by the Court, BLBG confirmed this.

Discovery was allowed into the problem and several hearings were held. After careful consideration of all the evidence and argument, the Court remains unable to determine whether the move of Mr. Ek to BLBG was coincidental versus culpable. It's possible that there was a quid pro quo of sorts but, if so, it's not clear in the evidence.

What is crystal clear is that BLBG held Mr. Ek out as the professional who would guide the class through the litigation and direct counsel. Also crystal clear is that BLBG and Mr. Ek failed to tell the Court that he had gone over to the counsel side, meaning had left SEB and joined BLBG. On his way out of SEB, he lateraled his case responsibilities to a colleague, another fact not disclosed to the Court.

The PLSRA established the statutory office of lead plaintiff, usually intended to be an institutional investor, for the very specific purpose of converting securities litigation from "lawyer driven" to "investor driven" wherein the lead plaintiff actually manages the case for the class, the lawyer no longer being in charge. When, as here, the very man or woman presented to the Court as the one who will carry out the PSLRA mandate winds up as an employee of the lawyer, one can easily ask whether a fundamental goal of the Act has been compromised.

Separate from this is the pay to play problem. If a law firm winks and nods and says, "Hire me as your class counsel and we'll return the favor down the road," then the class suffers

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

Northern District of California

because class counsel should instead be selected on the merits of who will best represent the class. The lead plaintiff owes a fiduciary duty to the class to select the best lawyer for the class, not to treat the selection as a tradeoff of favors.

BLBG and SEB surely knew all these ramifications and knew how the undersigned judge felt about these issues. The appearance alone raises eyebrows, arched eyebrows. BLBG should have avoided this spectacle. So should have SEB and so should have Mr. Ek. This is true even though discovery could not establish a clear-cut quid pro quo.

It's worth observing that while no clear-cut evidence of a quid pro quo emerged, discovery did show that BLBG's initial explanation to the Court proved misleading. At our hearing on January 21, 2021, Class Counsel Salvatore J. Graziano told the Court,

> [F]irst and foremost, we never thought or raised the possibility of Mr. Ek joining our firm when he was at SEB. That was back in 2018. He had no intention of leaving. We never thought would he leave. He publicly left a year later, December 1 of 2019

(Tr. at 4–5). After that hearing, the Court permitted discovery. Mr. Ek testified at his deposition that he "was employed by SEB until the last day of March" in 2020 (Ek. Dep. at 51). Moreover, BLBG had sent Mr. Ek a recruitment email on December 19, 2019, while SEB still employed him. In it, a BLBG attorney (on this case) said, "I know you said that you wanted to transition your work at SEB towards the end of the year before thinking about next steps. Now that we are almost at the end of the year, please know that I would love to continue to work with you" but "of course, I don't know what your plans are or if you have given your next steps any thought yet" (van Kwawegen Dep. at 55). In his brief summarizing Mr. Ek's testimony (and other discovery), Attorney Graziano walked back his January 21 representation, conceding, "BLB&G raised for the first time the prospect of working with Mr. Ek in late December [2019]," but said it was "irrelevant" (Dkt. No. 284-3 at 3). Attorney Graziano's brief continued, "[T]he sworn testimony on this issue confirms there was no "active recruitment" prior to February 2020" (ibid.). This shifting-sands set of explanations is concerning. But, still, it does not prove any quid pro quo.

Casse33188evv90229022VVVHAA Dibocumeent139891 Fiffield04721092211 Fibagee49o6f49

We are too far into the case to replace SEB or BLBG, at least on this record. Instead, the Court believes these circumstances should be brought to the attention of the class and a new opportunity given to opt out. Counsel shall meet and confer on a form of notice and a timeline for distribution and opt-out. BLBG shall pay for the costs of notice, distribution, and opt-out. Please submit this within seven calendar days.

In addition, in future cases, both SEB in seeking appointment as a lead plaintiff and BLBG in seeking appointment as class counsel shall bring this order to the attention of the assigned judge and the decision-maker for the lead plaintiff who is to select counsel. This disclosure requirement shall last for three years from the date of this order.

IT IS SO ORDERED.

Dated: April 20, 2021.

WILLIAM ALSUP United States District Judge

EXHIBIT B

B6 | Tuesday, May 18, 2021 THE WALL STREET JOURNAL.

NEW HIGHS AND LOWS

WSJ.com/newhighs

The following explanations apply to the New York Stock Exchange, NYSE Arca, NYSE	52-Wk % Stock Sym Hi/Lo Chg Stoc	52-Wk %	52-Wk % Stock Sym Hi/Lo Cha	52-Wk %	52-Wk % Stock Sym Hi/Lo Chg	52-Wk %	52-Wk %
American and Nasdaq Stock Market stocks that hit a new 52-week intraday high or low in the latest session. CHG -Daily percentage change from the previous trading session.				Stock Sym Hi/Lo Chg Schlumberger SLB 34.10 3.8	Stock Sym Hi/Lo Crig		k Sym Hi/Lo Chg inderA PFDR 9.70 -0.6
Monday, May 17, 2021	FlexLNG FLNG 12.89 5.3 Jones		Orange ORAN 13.09 0.5	ScientificGames SGMS 60.10 1.8 Seagate STX 106.22 6.4	LOWS AryaSciencesIV ARYD 10.14 -1.1	FloraGrowth FLGC 3.42 -11.6 PhioP	otll Wt PDOT.WS 0.88 -3.2 Pharm PHIO 1.82 5.8
52-Wk % 52-Wk % 52-Wk %	Freedoml Wt FACT.WS 1.04 -2.0 KeyC	Corp KEY 23.55 0.6	OrrstownFinSvcs ORRF 25.59 0.4	SelectBancorp SLCT 13.95 1.0 SelectInterior SIC 11.99 1.7	AdventTech ADN 8.70 5.6 AfricanGoldWt AGACWS 0.40 -2.3	ForesightAcqnA FORE 9.63 -0.2 Poshn	
Stock Sym Hi/Lo Chg Stock	FrontierAcqnA FRON 10.51 Kohl'	's KSS 64.50 4.5	OsirisAcqn OSI.U 9.93 0.5	ServisFirstBcshs SFBS 68.14 -0.1 Smith-Midland SMID 15.50 7.4	AlkamiTech ALKT 26.85 -7.5 AltitudeAcqnWt ALTUW 0.80 -7.7	4Dpharma LBPS 10.15 -0.6 PropT	DigitalA XPDI 9.72 0.1 FechlovII PTICU 9.91 -0.7
Highs BootBarn BOOT 79.87 1.6 ConsidComm CNSL 9.33 2.0 CooperT&R CTB 60.09 0.0	GAMICO Investors GBL 26.00 0.9 LSB	Inds LXU 6.71 6.1	PCB Bancorp PCB 16.66 1.2	SouthsideBcshs SBSI 43.61 0.2 SouthwesternEner SWN 5.44 6.7	AmbacFinWt AMBCWS 3.15 2.1 AmerGold&Silver USAS 1.59 -27.0	FusionAcqnIIA FSNB 9.61 Provide	dentAcqn PAQCU 9.98 -0.4 lentAcqnWt PAQCW 0.67 1.4
A-MarkPrecMet AMRK 55.75 13.0 Broadstone BNL 20.95 1.4 Core-Mark CORE 45.01 -1 AT&T T 33.88 -2.7 BrookfieldMqt BAM 49.06 0.8 Cresud CRESY 7.50 10.	GladstoneLand LAND 24.57 2.1 Lared	doPetrol LPI 48.73 15.9	PacWestBancorp PACW 46.43 1.4	Starrett A SCX 9.90 7.3 SurgeryPartners SGRY 52.92 1.5	AthenaTechWt ATHN.WS 0.61 -3.0 AuroraAcqn AURCU 9.78 0.5	FusionPharm FUSN 7.79 -0.1 Puxin GATX Nts GMTA 24.99 Q&K I	
AbbVie ABBV 117.71 0.4 BrookfieldProp BPV 18.42 0.4 Cricut CRCT 29.00 -4 Abercrombie&Fitch ANF 42.91 3.7 Build-A-Bear BBW 9.69 3.8 Cullen/Frost CFR 125.00 0.		sonProducts LAWS 61.87 11.0	PeapackGladFinl PGC 33.65 0.5	TFI Intl	B.RileyPrin250 BRIVU 9.94 ByteAcqnA BYTS 9.63 -1.2	Galecto GLTO 4.73 -1.0 Queen'	rsGambitWt GMBTW 0.76 nsGambit GMBTU 9.92 -0.3
Acushnet GOLF 53.99 1.7 BuildAcqnA BGSX 9.82 0.1 DataStorageWt DTSTW 1.00 1. Adecoagro AGRO 10.58 3.0 BurgundyTechWt BTAQW 0.83 0.6 DesignerBrands DBI 20.35 1.	GrafTechIntl EAF 14.17 1.1 Lazar GranitePointMtg GPMT 13.77 1.3 Lever	reWt LVRAW 0.91 1.1	PembinaPipeline PBA 32.50 1.9	TargaResources TRGP 39.20 1.4 Tech&EnerWt TETCW 0.72 4.7	BoqiiHolding BQ 3.60 -1.3 CMLifeSciIII CMLTU 10.30 -0.9	GlobalIntPpl SDH 2.72 -4.8 RXR A	Acqn Wt RXRAW 0.71 -1.9 edicalSys RMED 3.18 -9.3
Adtran ADTN 20.49 0.8 BurlingtonStrs BURL 336.68 0.7 DevonEnergy DVN 27.35 3. AlexionPharm ALXN 175.08 0.7 CF Acqn VIII Wt CFFEW 0.85 3.7 Diageo DEO 190.74 0.	GridDynamics GDYN 17.04 -2.2 Liber	rtyGlobal C LBTYK 28.70 -0.2	PennyMacMtgPfA PMTpA 26.40 -0.1 People'sUtdFin PBCT 19.47 0.3	TimkenSteel TMST 15.92 10.7 TorontoDomBk TD 73.37 1.2		GoldenFalconWt GFX.WS 0.58 9.0 Reinve	entTechY A RTPY 9.81 -0.4 rentTechZ RTPZ.U 9.99 0.9
Ambev ABEV 3.37 0.9 CNH Indl CNHI 17.44 2.4 DigitalBrands DBGI 5.10 19. AmerantBancorpA AMTB 24.22 1.7 CONSOL Energy CEIX 14.60 8.3 DigitalBrandsWt DBGIW 0.75 27.	7 HNI HNI 45.24 0.9 M&T	Bank MTB 167.57 0.3	Perficient PRFT 71.46 0.7 PeridotAcqnIIA PDOT 10.60 0.3	TowneBank TOWN 33.15 0.3 Tradeweb TW 84.64 -0.1	CatchalnvtWt CHAA.WS 0.65 -5.7 CenterraGold CGAU 6.75 0.6	GoresVII A GSEV 9.84 -0.8 Revolu	lutionA REVH 9.50 -1.3 AcgnIIWt ROSS.WS 0.74 -2.5
AmerantBancorpB AMTBB 18.15 1.4 CTS CTS 37.50 6.8 DigitalTransA DTOC 10.15 5. AmHomes4Rent AMH 37.81 0.8 Cabot CBT 63.51 1.3 Dillard's DDS 152.19 12.	HancockWhitney HWC 50.42 0.5 MPL	X MPLX 29.65 3.3	PhibroAnimal PAHC 28.35 2.0 Plexus PLXS 99.24 0.2	TrilogyMetals TMQ 2.74 1.9 TruistFinl TFC 62.15 0.6	Certara CERT 24.36 -3.8	GoresTechPtrsII GTPBU 9.85 -0.3 SciONT	TechGrowthl SCOAU 9.94 TechII A SCOB 9.72 -0.4
AmericanNatI ANAT 150.98 -2.5 CallawayGolf ELY 34.93 2.0 DorianLPG LPG 15.46 1. AmOutdoorBrands AOUT 31.35 5.2 Cameco CCJ 20.38 3.5 Dow DOW 71.08 1.	5 HarrowHithNts26 HROWL 25.90 2.4 MV (Oil MVO 6.14 3.6	Popular BPOP 61.90 1.0	TwinDisc TWIN 14.21 6.1 UFP Tech UFPT 59.06 2.0	ChurchillV Wt CCV.WS 1.09 -9.2 CoinbaseGlbl COIN 238.25 -3.9	Guidewire GWRE 91.77 -2.8 Sense	eiBiotherap SNSE 9.05 -5.2 OaksAcgnUn SVOKU 10.00 0.2
AmerisBancorp ABCB 57.88 -0.7 CIBC CM 110.52 1.0 E-HomeHousehold EJH 80.93 -23. Amrep AXR 12.44 7.4 CanNaturalRes CNQ 35.14 2.0 ENI E 25.71 0.	9 Hayward HAYW 25.67 -1.6 Mas 7	Tec MTZ 120.41 1.5	PrestigeCnsHlth PBH 49.62 1.9	US Bancorp USB 62.42 0.5 UnityBancorp UNTY 24.10 1.2	Colonnade II Wt (LAA.WS 0.84 -2.4 CorvusPharm CRVS 2.44 -0.4		IsTech SHLS 20.94 -6.1 Spikell A SPKB 9.63 -0.5
AnteroMidstream AM 9.93 2.4 CapitalOne COF 160.48 1.1 EQT EQT 23.16 8. AnteroResources AR 12.68 7.0 CapitalSrLiving CSU 54.44 4.6 EastmanChem EMN 130.09 0.	7 Hershey HSY 173.83 0.8 Mave	erixMetals MMX 6.40 5.4	RBB Bancorp RBB 23.23 0.2	Univar UNVR 27.00 3.2 Universal UVV 60.45 0.3	D&ZMediaA	InhibikaseTherap IKT 4.51 3.5 Social	BoxI Wt SBEAW 0.56 -37.0 ILvgI Wt SLAC.WS 0.83 -2.2
ArgoGoupPfdA AR60pA 27.67 -0.3 CapitalaFinNts22 CPTAG 25.34 -0.1 ElancoAnimal ELAN 35.35 0. ArrowElec ARW 121.59 1.9 CapStarFin CSTR 21.39 2.3 EllingtonFin EFC 19.35 1.	6 HessMidstream HESM 24.00 3.1 Metr	ropolitanBk MCB 64.60 1.3	RamacoRscs METC 5.37 8.2	UniversalHealthB UHS 161.60 0.5 UnumGroup UNM 30.97 0.5	DiffusionPharm DFFN 0.60 -1.5 dMYTechIIIWt DMYLWS 1.80 -6.3	InnovAge INNV 20.35 -4.9 Switch	ILvgI A SLAC 9.65 -0.9 :hbackII SWBK.U 10.02 -1.2
AtHomeGroup HOME 38.06 3.9 CatalystPharma CPRX 5.57 -0.4 Emcore EMKR 8.22 5. AtIUnionBkshs AUB 42.10 0.4 CenturyCasinos CNTY 14.69 3.0 EnableMidstream ENBL 8.90 4.	HoulihanLokey HLI 74.91 0.2 Mine	eralsTechs MTX 84.88 1.0	RangerEnergySvcs RNGR 6.48 5.4	Vaccitech	dMYTechIVWt DMYQ.WS 1.05 -5.4	JamfHolding JAMF 27.77 1.0 TigaA	sTherap TALS 12.27 -10.0 AcqnWt TINV.WS 0.92 -14.8
	0 IDT IDT 26.55 0.2 Nam	TaiProperty NTP 22.03 5.2	ReconTech RCON 10.20 6.0	Verso VRS 18.18 2.5 WaldencastWt WALDW 5.50 77.3	DragoneerGrwWt DGNRWS 1.41 3.5	KensingtonII A KCAC 9.90 -0.7 ValorL	Latitude VLATU 9.90 -0.6
BankofMontreal BMO 100.82 1.1 Chico'sFas CHS 4.54 5.2 EquityBcshs EQBK 32.21 3.	8 ImperialOil IMO 33.10 3.1 News	mark NMRK 13.32 0.2	RegionsFin RF 23.43 0.6	WarburgPincusl-B A WPCB 10.02 1.6 WellsFargo WFC 47.98 2.0		MetroMile MILE 6.66 -0.6 Vecto MissionAdvA MACC 9.65 -0.7 VerbT	Tech VERB 0.92 5.5
	2 Ingredion INGR 98.05 0.9 Newt		RoyalBkCanada RY 101.48 0.7	WesBanco WSBC 39.70 0.5	EJF Acqn A EJFA 9.53 -1.9 ESM Acqn A ESM 9.70 -0.2	NightDragonA NDAC 9.76 -0.2 Virgin	nGrpII A VGII 9.85 -1.5 nGrpII Wt VGII.WS 0.96 -10.0
BeautyHealth SKIN 14.20 4.1 Citigroup C 77.47 1.1 FRP Holdings FRPH 58.76 -0. BERY 70.90 1.9 CitizensFin CFG 50.79 0.5 FalconMinerals FLMN 5.20 2. Company 5.20	2 IntlPaper IP 64.62 2.3 Novo		SabineRoyalty SBR 37.05 2.8	WesternDigital WDC 77.54 6.3	EverQuote EVER 28.63 -0.9	OneEquityPtrsIA OEPW 9.57 -1.6 Warrie	xPharm VRPX 3.79 5.7 iorTechA WARR 9.55 -1.5
BioCryst BCRX 14.45 12.0 CocaColaCon COKE 343.00 1.7 Fininstitutions FISI 33.06 0. BlockHR HRB 25.03 1.7 Coca-ColaEuro CCEP 61.95 1.9 FirstAmerFin FAF 66.92 0. BlockHR FA	6 IronMountain IRM 43.76 2.5 Nuco 1 J&JSnackFoods JJSF 174.93 -2.7 OFGE		SanJuanBasin SJT 6.14 12.0 SantanderCons SC 36.74 1.0			OrionBiotechOpps ORIAU 9.99 Zanite OysterPtPharma OYST 15.80 3.7 Zovio	eAcqnWt ZNTEW 0.85 -6.4 ZVO 2.02 -1.4
Biggest 1,000 Stocks WSJ.com/stocks	Net Stock Sym Close Chg Sto	Net ock Sym Close Chg	Net Stock Sym Close Chg	Net Stock Sym Close Chg	Net Stock Sym Close Chg	Net Stock Sym Close Chg Sto	Net ock Sym Close Chg
Continued From Page B5 Net Net Net Start Class Charles		psiCo PEP 146.17 -0.42	RoyalDutchA RDS.A 40.99 0.59	Suzano SUZ 12.78 0.35	US Foods		stpacBanking WBK 19.77 -0.20
Net Stock Sym Close Chg Stock Sym Close Ch Stock Sym Close Chg KirklandLakeGold KL 43.70 2.23 MarketAxess MKTX 455.09 -1.2	NiSource NI 25.45 -0.30 Pet	rkinElmer PKI 143.23 -0.33 troChina PTR 40.96 0.57	RoyalDutchB RDS.B 38.86 0.57 RoyaltyPharma RPRX 41.50 0.77	SynchronyFin SYF 46.91 0.11 SyneosHealth SYNH 85.40 -0.35	UWM UWMC 8.12 0.61 Uber UBER 47.51 0.09	Vipshop VIPS 24.31 -0.93 Wey	estRock WRK 61.81 1.24 yerhaeuser WY 38.07 -0.24
HuntingtonBcshs HBAN 15.93 0.08 Kohl's KSS 64.06 2.74 Marriott MAR 139.86 -3.4	NomuraHoldings NMR 5.35 -0.02 Pet	troleoBrasil PBR 9.81 0.13 troleoBrasil A PBR.A 10.07 0.14	Ryanair RYAAY 113.62 -2.29 SAP 137.36 -1.46	Synopsys SNPS 236.00 -3.70 Sysco SYY 84.13 0.13	Ubiquiti UI 279.56 -4.10 UiPath PATH 68.00 -2.48	VMware VMW 163.48 -0.82 Wh	atonPrecMet WPM 47.57 2.37 hirlpool WHR 245.52 -1.20
IAC/InterActive IAC 224.68 -3.61 KoreaElcPwr KEP 10.44 -0.16 MartinMarietta MLM 376.05 -1.9		zer PFE 40.11 0.09 illipMorris PM 97.55 -0.15	S&P Global SPGI 374.95 -4.21 SBA Comm SBAC 288.50 -0.12	TUV	UltaBeauty ULTA 329.81 5.53 UnderArmour A UAA 23.18 0.40		lliams WMB 26.53 0.65 ams-Sonoma WSM 171.29 -0.48
ICL Group ICL 6.79 0.04 Kroger KR 37.39 0.19 Masco MAS 63.81 -0.3	NorthernTrust NTRS 120.62 0.45 Phi	illips66 PSX 88.70 1.00 aduoduo PDD 118.02 -0.31	SEI Investments SEIC 62.93 -0.41 SK Telecom SKM 30.26 -1.10	TAL Education TAL 47.61 -1.13	UnderArmour C UA 19.36 0.35 Unilever UL 61.05 0.46	VoyaFinancial VOYA 68.55 -0.13 Will VulcanMatls VMC 193.11 1.93 Wip	llisTowers WLTW 266.00 1.15 pro WIT 7.31 -0.08
IdexxLab IDXX 523.62 -6.45 L Brands LB 70.48 1.25 Masimo MASI 213.04 -6.8 IHS Markit INFO 103.71 -1.25 LKQ LKQ 51.06 0.38 I MasTec MTZ 120.17 1.7 1.7	NortonLifeLock NLOK 26.11 0.02 Pin	nacleWest PNW 85.44 -0.62 nterest PINS 58.10 0.05	SS&C Tech SSNC 74.11 -0.15 StoreCapital STOR 33.43 0.09	TC Energy TRP 50.87 0.35 TE Connectivity TEL 134.16 -0.04	UnionPacific UNP 224.76 -3.10 UnitedAirlines UAL 56.57 1.29	W Y V Z	x.com WIX 224.78 2.14 orkday WDAY 229.45 0.68
ING Groep ING 13.32 -0.01 LPL Financial LPLA 148.77 -1.20 Mastercard MA 363.30 -0.6 Invesco IVZ 27.76 -0.20 L3HarrisTech LHX 218.10 1.06 MatchGroup MTCH 139.99 -2.2	Novartis NVS 88.55 -0.41 Pior	neerNatRscs PXD 161.03 3.98	SVB Fin SIVB 577.54 -0.88 Salesforce.com CRM 215.06 -2.60	Telus TU 21.88 0.11 TFI Intl TFII 91.00 1.58	UnitedMicro UMC 8.17 -0.40	WEC Energy WEC 95.76 -0.44 Wyr	nnResorts WYNN 124.73 -1.94
IPG Photonics IPGP 196.39 0.58 LabCpAm LH 267.33 -7.17 MaximIntProducts MXIM 91.43 -1.4 LamResearch LRCX 592.07-10.92 McAfee MCFE 23.66 -0.0	NovoNordisk NVO 80.58 1.48 Pla	insAllAmPipe PAA 10.45 0.31 aytika PLTK 25.30 0.10	Sanofi SNY 53.49 0.75	TJX TJX 72.01 -0.42 T-MobileUS TMUS 140.20 -1.32	UPS B UPS 216.29 -0.09 UnitedRentals URI 341.04 -0.75	W.P.Carey WPC 73.84 0.19 XPC	XP 42.89 -0.01 D Logistics XPO 144.25 -1.80
ITT	NuanceComms NUAN 52.52 -0.04 Poo		Sasol SSL 16.87 -0.10	TRowePrice TROW 191.47 0.40 TaiwanSemi TSM 109.80 -2.66	US Bancorp USB 62.21 0.30 UnitedTherap UTHR 198.29 0.42	Wabtec WAB 80.40 -0.13 Xilir	
lcon ICLR 225.73 -3.47 LasVegasSands LVS 56.76 -1.14 McDonalds MCD 231.68 -0.0	4 Nucor NUE 106.32 3.81 Pri	incipalFin PFG 66.87 0.04 octer&Gamble PG 137.73 -0.28	Schlumberger SLB 34.05 1.24 SchwabC SCHW 73.00 -0.25	TakeTwoSoftware TTWO 168.22 1.23	UnitedHealth UNH 408.63 -1.17 UnitySoftware U 86.57 -0.91	WalgreensBoots WBA 55.30 0.59 XPe Walmart WMT 138.89 -0.63 Xyle	
IllinoisToolWks ITW 237.55 -0.95 Leidos LDOS 103.38 MedicalProp MPW 21.01 0.1	9 NVIDIA NVDA 566.62 -3.10 Pro	ogressive PGR 106.56 -0.54 ologis PLD 114.19 -0.46	ScottsMiracleGro SMG 229.03 -0.96 Sea SE 218.84 2.15	TakedaPharm TAK 17.20 0.08 Tapestry TPR 47.37 0.55	UnivDisplay OLED 195.79 3.05 I UniversalHealthB UHS 160.60 0.84	WarnerMusic WMG 35.74 1.03 Yan	ndex YNDX 63.67 0.24 mBrands YUM 118.65 -0.62
ImperialOil IMO 33.05 1.00 Lennar A LEN 98.09 -1.62 MelcoResorts MLCO 17.30 -0.3	O P Q Pro	oofpoint PFPT 171.87 0.10 udentialFin PRU 107.96 0.28	Seagate STX 104.23 6.26 Seagen SGEN 147.98 0.48	TargaResources TRGP 39.05 0.53 Target TGT 210.02 -1.14	VEREIT VER 45.11 0.04 VF VFC 86.65 1.21	WasteMgt WM 141.51 -0.43 Yun	mChina YUMC 63.46 0.34 D Express ZTO 30.87 0.04
Incyte INCY 82.27 -0.35 Lennoxinti LII 348.33 -2.51 MercadoLibre MELI 1336.78 13.6 Infosys INFY 18.17 0.01 LeviStrauss LEVI 29.35 0.28 Merck MRK 79.87 1.5	8 OReillyAuto ORLY 553.16 -0.17 Pru	udential PUK 42.73 -0.47 blicServiceEnt PEG 62.29 -0.23	SealedAir SEE 56.54 0.05 SempraEnergy SRE 137.54 -0.57	TataMotors TTM 21.90 0.66 TeckRscsB TECK 26.25 0.78	VICI Prop VICI 31.19 0.32 VailResorts MTN 318.30 -5.34	Watsco WSO 297.09 -0.82 Zail	
IngersollRand IR 48.76 0.22 LiAuto LI 18.85 0.50 MetLife MET 65.70 -0.2 Insulet PODD 228.43 -3.99 LibertyBroadbandC LBRDK 163.17 -3.45 MettlerToledo MTD 1259.01-15.6	Occidental Petrol OXY 26 39 1 29	blicStorage PSA 276.58 3.13	SensataTechs ST 58.03 0.03	TeladocHealth TDOC 137.36 -2.32 TeledyneTech TDY 416.29 -9.55	Vale VALE 21.59 0.56	Weibo WB 48.79 -0.12 Zen	ndesk ZEN 132.25 -2.79
Intel INTC 55.33 -0.02 LibertyBroadbandA LBRDA 158.88 -3.11 MicrochipTech MCHP 143.52 -1.9 InteractiveBrkrs IBKR 68.66 -0.38 LibertyGlobal A LBTYA 28.10 -0.19 MicronTech MU 80.39 0.6	Okta OKTA 224.94 -4.31 Qia	lteGroup PHM 57.99 -0.47 agen QGEN 46.70 -0.08	ServiceCorp SCI 53.72 -1.15 ServiceNow NOW 454.40 -5.93	Teleflex TFX 393.24 0.24 Ericsson ERIC 13.16 -0.10	Vedanta VEDL 15.30 0.23	Welltower WELL 73.12 -0.10 Zillo	ow C Z 110.17 -1.71 ow A ZG 111.59 -1.73
ICE ICE 112.47 -0.79 I Liberty/Global C LBTYK 28.18 -0.07 InterContinentl IHG 69.44 -1.11 Liberty/FormOne C FWONK 43.89 -0.17 MidAmApt MAA 157.19 0.4	OmegaHealthcare OHI 35.99 0.18 Ou	orvo QRVO 171.08 0.07 alcomm QCOM 129.80 -0.35	ShawComm B SJR 29.80 0.03 SherwinWilliams SHW 284.23 -1.86	TelefonicaBras VIV 8.24 0.07	VeevaSystems VEEV 247.92 -3.78 Ventas VTR 54.27 -0.37	WestPharmSvcs WST 326.70 -6.37 Zion	merBiomet ZBH 165.81 -1.46 nsBancorp ZION 60.27 0.07
IBM 145.11 0.43 LibertyFormOne A FWONA 39.43 0.20 Middleby MIDD 171.66 -2.6	ON Semi ON 36.74 -0.71 Qu	altricsIntl XM 33.02 -0.13 antaServices PWR 96.78 -0.64	ShinhanFin SHG 36.42 -0.55 SHOP 1096.39 11.38	Telefonica TEF 5.12 0.15 TelekmIndonesia TLK 22.41 -0.33	VeriSign VRSN 219.66 -1.26 VeriskAnalytics VRSK 172.94 -2.06	WestAllianceBcp WAL 103.98 -0.49 Zoe WesternDigital WDC 76.42 4.55 Zoo	etis ZTS 170.27 -2.02 omVideo ZM 308.93 1.04
IntlPaper IP 64.10 1.45 LibertyBraves C BATRK 26.95 -0.09 MizuhoFin MFG 3.10 0.0	OpenText OTEX 45.88 -0.30 Qua OpendoorTech OPEN 15.36 0.24 Qua	antumScape QS 27.68 0.39 JestDiag DGX 132.01 -3.41	Sibanye-Stillwater SBSW 19.25 0.78 SignatureBank SBNY 246.45 -0.26	10xGenomics TXG 143.83 0.04 Tenaris TS 23.99 0.17	Verizon VZ 57.94 -0.75 VertxPharm VRTX 216.35 -0.74	WesternMidstrm WES 21.70 -0.15 Zoon	mInfoTech ZI 39.99 -0.21 aler ZS 163.28 -2.06
Intuit INTU 419.42 2.16 LibertySirius A LSXMA 41.24 -0.48 Moderna MRNA 160.43 -0.9		R S	SimonProperty SPG 122.24 0.06 SiriusXM SIRI 5.87 -0.04	TencentMusic TME 15.30 0.09 Teradyne TER 119.86 -2.49		WestlakeChem WLK 105.00 0.90 Zyn	
InvitatHomes INVH 35.17 0.06 LincolnNational LNC 70.17 -0.28 MolinaHealthcare MOH 262.05 1.5	7 Orix IX 84.73 -0.65 OSK 136.92 1.56 RE		Skyworks SWKS 164.16 -0.79 SlackTech WORK 41.16 -0.15	Tesla TSLA 576.83-12.91 TEVA 10.76 0.19			
IronMountain IRM 43.55 1.05 LithiaMotors LAD 366.09 -3.29 Mondelez MDLZ 62.47 0.1	OtisWorldwide OTIS 79.01 0.13 RH		SmithAO AOS 70.87 -0.90 Smith&Nephew SNN 42.63 -0.35	TexasInstruments TXN 180.83 -2.44 TexasPacLand TPL 1650.00-38.17	Dividend Char	iaes	
ItauUnibanco ITUB 5.46 -0.01 LiveNationErit LYV 84.52 -1.28 MongoDB MDB 264.18 2.9 MonolithicPower MPWR 312.30 -4.2 MonolithicPower	OzonHoldings OZON 54.39 -1.44 RP		Smucker SJM 133.51 -1.82 Snap SNAP 53.91 0.92	Textron TXT 68.29 0.30	Dividend announceme		
Loews L 58.45 -0.48 Moody's MCO 329.69 -4.3	PNC Fin PNC 199.03 -0.35 Ray	ymondJames RJF 133.92 -0.25 ytheonTech RTX 86.57 0.69	SnapOn SNA 253.09 -2.10 Snowflake SNOW 212.65 2.66	ThomsonReuters TRI 94.89 0.12 3M MMM 205.11 0.73	Dividend dimodricem	Amount	Payable/
I JPMorganChase JPM 164.67 0.66 Lowe's Low 194.13 -4.78 Morningstar Morn 243.05 -1.9	7 PPD PPD 46.08 -0.04 Rea	altylncome 0 65.40 0.06 gencyCtrs REG 63.60 -0.17	SOQUIMICH SQM 46.96 -5.35 SolarEdgeTech SEDG 218.01 -0.86	Toro TTC 112.24 -0.83 1 TorontoDomBk TD 73.26 0.85	Company	Symbol Yld% New/Old	Frq Record
JacobsEngg J 139.10 0.65 Luluu 314.54 -0.11 MotorolaSol MSI 200.65 -1.2	PPL PPL 29.16 -0.10 Re	genPharm REGN 523.73 7.11 gionsFin RF 23.40 0.15	Sony SONY 93.92 -0.23 Southern SO 64.44 -0.67	Total TOT 48.71 -0.02 ToyotaMotor TM 158.68 2.83		UVT 21 25/22	0 hm16 / hm01
JazzPharma JAZZ 176.80 -2.37 Lyft LVMN 14.55 0.12 NICE 224.97 -3.7 NIO 33.81 0.3	9 PTC PTC 127.66 -1.35 Rei	insGrp RGA 127.19 -0.16 lianceSteel RS 170.60 0.04	SoCopper SCCO 78.35 2.05	TractorSupply TSCO 186.39 -1.49 TradeDesk TTD 507.64 -9.85	Haverty Furniture Haverty Furniture A		Q Jun16/Jun01 Q Jun16/Jun01
JohnsonControls JCI 65.91 0.36 LyondellBasell LYB 115.48 1.41 NRG Energy NRG 34.17 -0.1 NVR NVR 4871.49-78.2	PackagingCpAm PKG 155.13 0.88 Rep	pligen RGEN 165.87 -6.09	SouthwestAir LUV 62.10 -0.20 Splunk SPLK 114.37 -1.85	Tradeweb TW 83.77 -0.11	Foreign		,
I JonesLang JLL 202.91 2.45 M N NXP Semi NXPI 191.18 -1.0 NXP Semi Nasdaq NDAQ 163.75 -0.6	PalantirTech PLTR 20.46 0.38 Res	publicSvcs RSG 110.03 -1.07 sMed RMD 195.00 -0.42	Spotify SPOT 223.33 -0.26 Square SQ 202.92 -4.93	TraneTech TT 183.54 -0.66 TDG 596.45 -0.96	Colliers International		SA Jul14/Jun30
KB Fin KB 51.34 -0.24 I M&T Bank MTB 167.27 0.45 I NationalGrid NGG 65.87 0.0 KE Holdings BEKE 49.89 -0.11 MGMGrowthProp MGP 35.02 0.23 Natura&Co NTCO 18.94 0.2	9 PaloAltoNtwks PANW 335.80 -1.02 Resi	taurantBrands QSR 67.47 -0.18 ngCentral RNG 235.53 -4.46	StanleyBlackDck SWK 214.83 -1.18 Starbucks SBUX 110.98 -0.22	TransUnion TRU 108.04 -0.01 TRV 160.25 -0.26	Fresenius Medical ADR GasLog Perp. Pfd. A		A Jun07/May25Q Jul01/Jun30
KKR KKR 55.44 -0.70 MGM Resorts MGM 38.75 -0.36 NatWest NWG 5.51 0.0 KLA KLAC 297.72 -8.03 MKS Instrum MKSI 176.68 -2.23 NetApp NTAP 78.44 -0.0	1 Paychex PAYX 99.85 -0.26 Ric	oTinto RIO 90.63 2.40 sbertHalf RHI 89.73 -0.39	StateStreet STT 86.38 -0.37 SteelDynamics STLD 64.54 0.78	Trimble TRMB 76.23 -0.08	GasLog Ptrs Pfd. A	GLOPpA 9.3 .53906	Q Jun15/Jun08
KSCitySouthern KSU 297.04-11.97 I MPLX MPLX 29.65 0.96 NetEase NTES 104.90 -0.4	4 Paylocity PCTY 159.72 -3.15 Ro	blox RBLX 76.93 5.98 cketCos. RKT 17.74 0.40	Stellantis STLA 18.40 0.25	Trip.com TCOM 38.92 1.41	GasLog Ptrs Pfd. B		Q Jun15/Jun08 Q Jun15/Jun08
Kellogg K 66.32 -0.22 MSCI MSCI 455.78 -7.68 Netflix NFLX 488.94 -4.4 KeurigDrPepper KDP 35.97 -0.08 i MagellanMid MMP 49.79 0.71 Neurocrine NBIX 93.08 -1.5	2 I Pearson PSO 12.33 0.14 Ro	ckwell ROK 264.94 -2.52	STMicroelec STM 35.42 -0.24	Tuya TUYA 18.96 1.51	nVent Electric		Q Jun15/Jun08 Q Aug06/Jul23
KeyCorp KEY 23.52 0.13 MagnaIntl MGA 97.31 0.71 NewFortressEner NFE 41.50 0.4 NewSightTechs KEYS 139.54 -0.31 ManhattanAssoc MANH 132.18 -1.55 NewOrientalEduc EDU 12.36 0.1	6 Peloton PTON 92.29 -4.29 Ro		StoneCo STNE 59.41 0.20 Stryker SYK 251.85 -1.10	Twitter TWTR 52.60 0.87			
KimcoRealty KIM 21.11 -0.12 MarathonOil MRO 12.25 0.45 I Newmont NEM 74.00 3.2	PennNational PENN 80.85 0.05 Ro	PerTech ROL 36.10 -0.82 PerTech ROP 433.04 -5.31	SumitomoMits SMFG 7.28 -0.02 SunComms SUI 163.95 0.64	TylerTech TYL 391.74 -7.21 TysonFoods TSN 80.61 0.27	ProPhase Labs	PRPH30	Jun03/May25
1 KinderMorgan KMI 18.80 0.44 MarathonPetrol MPC 61.52 1.44 NewsCorp A NWSA 26.91 0.2 KingsoftCloud KC 37.04 -0.61 MaravaiLifeSci MRVI 38.40 0.47 NewsCorp B NWS 25.11 0.1	1 Pentair PNR 68.00 -0.78 Ros 9 Penumbra PEN 235.00 -5.54 Ros	vsSStores ROST 128.42 -2.08 valBkCanada RY 101.27 0.72	SunLifeFinancial SLF 54.58 0.34 SuncorEnergy SU 24.08 0.85	UBS Group UBS 15.58 0.09 UDR 46.40 0.01	KEY: A: annual; M: monthly; Q	: quarterly; r: revised; SA: semiannu	ıal; S2:1: stock split and
KinrossGold KGC 8.26 0.48 Markel MKL 1201.01-32.31 NextEraEnergy NEE 71.90 -1.2	2 People's UtdFin PBCT 19.41 0.06 Roy	yalCaribbean RCL 84.54 0.27	SunRun RUN 40.00 -1.42	UGI <u>UGI</u> 43.81 -2.13	ratio; SO: spin-off.		

ADVERTISEMENT

The Marketplace

To advertise: 800-366-3975 or WSJ.com/classifieds

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

Case No. 3:18-cv-02902-WHA

SEB INVESTMENT MANAGEMENT AB, individually

Plaintiffs.

SYMANTEC CORPORATION and GREGORY S. CLARK,

SUMMARY SUPPLEMENTAL NOTICE OF PENDENCY OF CLASS ACTION

To: All persons and entities who purchased or otherwise acquired publicly-traded common stock of Symantec Corporation ("Symantec") during the period from May 11, 2017, to August 2, 2018, inclusive (the "Class Period"),

YOU ARE HEREBY NOTIFIED, pursuant to Rule 23 of the Federal Rules of Civil Procedure and an Order of the United States District Court for the Northern District of California that the above-captioned action (the "Action") has been certified to proceed as a class action on behalf of the Class as defined above.

In the Action, Lead Plaintiff alleges that Defendants Symantec Corporation (now known as NortonLifeLock Inc.) and Gregory S. Clark defrauded shareholders by manipulating Symantec's financial reports to create the illusion of stronger-than-actual financial performance and outlook for fiscal years 2017 and 2018, and that Defendant Clark engaged in insider trading by selling shares of Symantec common stock during the Class Period while in possession of material, non-public information in violation of federal securities laws. Defendants deny all of Lead Plaintiff's allegations, and deny any wrongdoing or violation of law. <u>Please note</u>: at this time, there is no judgment, settlement, or monetary recovery.

All persons and entities who were identified as potential members of the Class were previously mailed a Notice of Pendency of Class Action dated June 26, 2020 (the "Original Class Notice") during the summer of 2020. If you did not receive the Original Class Notice, a copy is available at www.SymantecSecuritiesLitigation.com or you can obtain one by calling 1-800-949-0026. As set forth in the Original Class Notice, Class Members had the opportunity to request exclusion from the Class if they submitted such a $request\ postmarked\ by\ August\ 25,\ 2020.$

In April 2021, the Court ordered that a Supplemental Notice be mailed to all Class Members in order (a) to inform Class Members of the circumstances described in a recent order of the Court dated April 20, 2021, including that Class Counsel hired Hans Ek, the former Deputy Chief Executive Officer of Lead Plaintiff SEB Investment Management AB; and **(b)** to provide Class Members with a second opportunity to request exclusion from the Class. The Supplemental Notice includes the full text of the Court's April 20, 2021 order. If you have not received a copy of the Supplemental Notice you can obtain one at www.SymantecSecuritiesLitigation.com or by contacting the Notice Administrator at:

Symantec Securities Litigation c/o A.B. Data, Ltd. P.O. Box 173106 Milwaukee, WI 53217

Inquiries, other than requests for the Original Class Notice and Supplemental Notice, may be made to the following contact information for Class Counsel:

Symantec Securities Litigation blbg@blbglaw.com BERNSTEIN LITOWITZ BERGER & GROSSMANN LLP 1251 Avenue of the Americas New York, NY 10020

If you are a Class Member, you have a second opportunity to decide whether to remain a member of the Class. If you want to remain a member of the Class, you do not need to do anything at this time other than to retain your documentation reflecting your transactions and holdings in Symantec common stock. If you are a Class Member and do not exclude yourself from the Class, you will be bound by the proceedings in this Action, including all past, present, and future orders and judgments of the Court, whether favorable or unfavorable. If you move, or if the Notice was mailed to an old or incorrect address, please send the Notice

Administrator written notification of your new address. If you ask to be excluded from the Class, you will not be bound by any order or judgment of this Court in this Action, however you will not be eligible to receive a share of any money which might be recovered for the benefit of the Class. If you wish to exclude yourself from the Class, you must submit a written request for exclusion postmarked **no later than July 2, 2021**, in accordance with the instructions set forth in the Supplemental Notice. **PLEASE NOTE:** if you previously requested exclusion from the Class in response to the Original Class Notice, you do NOT need to re submit your request. A list of the persons and entities who previously requested exclusion from the Class ("List of Previous Opt-Outs") is available at www.SymantecSecuritiesLitigation.com.

Further information regarding this notice may be obtained by writing to the Notice Administrator at the address provided above. PLEASE DO NOT CONTACT THE COURT REGARDING THIS NOTICE.

United States District Court for the Northern District of California

COMMERCIAL REAL ESTATE

UCC Public Sale Notice

Please take notice that Jones Lang LaSalle Americas, Inc., or an affiliate or subsidiary thereof, on behalf of ROC DEBT STRATEGIES II MORTGAGE CAPITAL LLC, a Delaware limited liability company (the "Secured Party") offers for sale at public auction on July 12, 2021 at 11:00 A.M. (New York time) via remote virtual auction for which a web address and password will be provided to all confirmed participants that have properly registered pursuant to the Terms of Sale (as more particularly described below), in connection with a Uniform Commercial Code sale, 100% of the limited liability company (the "Mortgage Borrower"), which is the sole owner of the property commonly known as The Pointe located at 400 Northridge Road and 500 Northridge Road, Sandy Springs, Georgia (the "Interests"). The Interests are owned by TDC POINTE MEMBER, LLC, a Delaware limited liability company, having its principal place of business at 5310 South Alston Avenue, Suite 210, Durham, North Carolina 27713 (the "Mezzanine Borrower").

The Secured Party, as lender, made a loan (the "Mezzanine Loan") to the Mezzanine Borrower in connection with the Mezzanine Loan, the Mezzanine Borrower has granted to the Secured Party a first priority lien on the Interests pursuant to that certain Pledge and Security Agreement dated as of May 24, 2017, as amended and restated by that certain Amended and Restated Pledge and Security Agreement dated as of June 30, 2020. The Secured Party is offering the Interests for sale in connection with the foreclosure on the pledge of such Interests. The Mezzanine Loan is subordinate to a mortgage loan and other obligations and liabilities of the Mortgage Borrower or otherwise affecting the property (the "Senior Loan").

The sale of the Interests will be subject to all amplicable third party consents and regulatory.

with the foreclosure on the pledge of such Interests. The Mezzanine Loan is subordinate to a mortgage loan and other obligations and ilabilities of the Mortgage Borrower or otherwise affecting the property (the "Senior Loan").

The sale of the Interests will be subject to all applicable third party consents and regulatory approvals, if any. Without limitation to the foregoing, please take notice that there are specific requirements for any potential successful bidder in connection with obtaining information and bidding on the Interests, including but not limited to (1) that each bidder must comply with the restrictions applicable to the sale of the Interests under the Intercreditor Agreement dated as of May 24, 2017 by and among the Secured Party, the holder of the Mezzanine Loan and the holder of the Senior Loan (the "Intercreditor Agreement"), including that such bidder is a "Qualified Transferee" (as defined in the Intercreditor Agreement"), including that such bidder is a "Qualified Transferee" (as defined in the Intercreditor Agreement) will repay the Senior Loan prior to the sale of the Interests and (2) that each bidder must deliver such documents and pay such amounts as required by the Intercreditor Agreement and the applicable governing documents relating to the Interests.

The Interests are being offered as a single lot, "as-is, where-is", with no express or implied warranties, representations, statements or conditions of any kind made by the Secured Party or any person acting for or on behalf of the Secured Party, without any recourse whatsoever to the Secured Party or any other person acting for or on behalf of the Secured Party, without any recourse whatsoever to the Secured Party, to credit bid, set a minimum reserve price, reject all bids (including without limitation any bid that it deems to have been made by a bidder that is unable to satisfy the requirements imposed by the Secured Party to credit bid, set a minimum reserve price, reject all bids (including without limitation any bid that it de

Act or any other applicable law.

All bids (other than credit bids of the Secured Party) must be for cash, and the successful bidder must (a) be prepared to deliver immediately available good funds on the day of the sale for any required deposit, (b) within three (3) New York business days of the sale pay to Secured Party the balance of the bid amount and (c) otherwise comply with the bidding requirements. Further information concerning the Interests, the requirements for obtaining information and bidding on the interests and the Terms of Sale can be found at (www.400and500northridgeroaduccsale.com).

Brett Rosenberg +1 212-812-5926; brett.rosenberg@am.jll.com

GREER STEEL



SINCE 1917

BUILT ONE CUSTOMER AT A TIME

GreerSteel.com

THE WALL STREET JOURNAL

(800) 366-3975 | sales.showroom@wsj.com

SHOWROOM

For more information visit: wsj.com/classifieds © 2021 Dow Jones & Company, Inc. All Rights Reserved. D | DOW JONES

BUSINESS OPPORTUNITIES

RV/Retreat Center Real Estate Secured. 12% preferred dividend. www.malutree.com 214-736-8105 Accredited InvestorsOnly.

THE WALL STREET JOURNAL.

ADVERTISE TODAY

(800) 366-3975

For more information visit:

wsj.com/classifieds



EXHIBIT C

ARTS

What will you do with a pile of cash?

Hit show 'The Money' raises potent questions about leadership, compromise and control. Sarah Hemming talks to its makers

everal years ago, while on holiday in Cornwall, theatre director Seth Honnor persuaded a group of 18 friends to join a bizarre experiment. He shut them in a caravan with a pot of money and asked them to decide what to do with it. Several hours of hard talking later, they emerged with a solution. "I think some of them haven't forgiven me yet," he jokes.

That experiment became the seed of *The Money*, the hit show that has travelled the world and now arrives in London's majestic County Hall. Part drama, part game, the piece (mounted by Honnor's Kaleider theatre company) gives audiences 60 minutes to decide what to do with a pile of cash. You can take part as a "player" — your ticket price of £20 goes into the kitty — or look on as a "silent witness", but your decision must be unanimous. If players can't come to a joint agreement in the allotted hour, the money rolls over to the following show.

The structure emerged after the intense, unregulated session in the caravan. "I realised you had to put in rules to give people freedom and look after them," says Honnor. "Now there's a time limit, you have to be unanimous and you can leave if you want. If you're a silent witness, you can buy in and become a player, and if you're a player you can hit a gong and you're out."

Given how fractious the management of a simple holiday kitty can be, you might expect an evening of fights and fisticuffs. In fact, says Honnor, the opposite tends to be true. The mood can be playful, but discussion is genuine. The money generally goes to charities and good causes — anything is allowed, so long as it is legal. And, while feelings may run deep, punters bring care, humour and diligence to the task of allotting the cash.

"Before I made the show a friend asked, 'Are you going to have ambulances outside?'" he recalls. "But it doesn't get like that, because what people really want in the world is cooperation. People are caring of each other. You're being witnessed as well, so there's an interesting idea of accountability. And if the conversation is going in

'It's a bit like watching a sporting fixture. The last few minutes are like the bit after the clock's gone red in rugby'

a direction you don't like, you can buy in and change it."

It's a show that dives deep into the nature of money: the power it imparts, its significance, the interplay between value, price and worth. For Honnor, it's important that the participants are playing with their own money: that small but real stake brings personal investment in the outcome. And while the sums are comparatively modest



Above and below right: 'The Money' at Sydney Opera House. The London edition will take place at County Hall

(£300-£600, rather than thousands or millions), conversations still quickly turn to relative values and the impact money can make.

"In one show there was someone who worked with a village in Namibia," says Honnor. "They said, 'This money would go a very long way there, so could we divide it up into £1 denominations and make a lot of people's lives different?' And in that show they all agreed to double the money."

Although not straight plays, they all used elements of theatre to make their points. The same is true of *The*

Money: there's no writer, no plot, no cast (apart from two "Hosts"). Yet, says the producer Eleanor Lloyd, there's plenty of excitement and drama on the night. "It's a bit like watching a sporting fixture," she says. "The last few minutes are like the bit after the clock's gone red in rugby."

Witnesses can make quite an entrance by stepping in and unexpected interventions can introduce a major plot twist. On one occasion in Australia, a participant left the table, then



returned and put down \$3,000, literally raising the stakes. Such an incident raises multiple ethical questions. What influence might an individual buy with such a large personal sum? Might they be making a rash decision they could regret later? Should the company intervene?

Honnor says such moral dilemmas are built into the show. On the night in question, the players themselves confronted the issues, discussing whether to give the money back. "For me it's about passing the baton of responsibility to those people," he says. "It's about folding those ethics in and dealing with that ethical dilemma rather than stopping it happen. The show is more about shared values and finding common ground than about the money."

In London, the show will play for eight weeks in County Hall, an imposing civic building directly across the river from the Houses of Parliament. It's a smart setting for a piece that delves into power, accountability, transparency and democracy, and for a format that prizes consensus over confrontation.

"The art of great leadership is knowing when and where to compromise," adds Honnor. "We're trying to find a way to disrupt the sense that [leadership] is about power and control and ask how you give up ground for other people's imaginations."

For both Lloyd and Honnor, the prospect of discussing priorities and action in a room with other people feels important after the traumas of the last year. The imperative to find agreement also offers a welcome change from the rancorous division that can plague social media. You might have different opinions, says Lloyd, but you can try to find some common ground. "Generally most people can agree that they want to make the world a better place."

And perhaps the biggest appeal of *The Money* is that it offers the chance to make a tangible difference in real life. One group in the US set up a charity to enable police officers to give teddy bears to children involved in violent crimes. A year later, Honnor got a moving email from Connecticut police with a photo of a small child holding a bear.

"It just said, 'There was a terrible incident today but luckily my police officers are armed with teddy bears from your project."

'The Money', County Hall, London, May 26-July 18, www.themoney.live

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

SEB INVESTMENT MANAGEMENT AB, individually and on behalf of all other similarly situated,

Plaintiffs,

SYMANTEC CORPORATION and GREGORY S. CLARK,

Defendants

Case No. 3:18-cv-02902-WHA

SUMMARY SUPPLEMENTAL NOTICE OF PENDENCY OF CLASS ACTION

To: All persons and entities who purchased or otherwise acquired publicly-traded common stock of Symantec Corporation ("Symantec") during the period from May 11, 2017, to August 2, 2018, inclusive (the "Class Period"), and who were damaged thereby (the "Class").

YOU ARE HEREBY NOTIFIED, pursuant to Rule 23 of the Federal Rules of Civil Procedure and an Order of the United States District Court for the Northern District of California that the above-captioned action (the "Action") has been certified to proceed as a class action on behalf of the Class as defined above.

In the Action, Lead Plaintiff alleges that Defendants Symantec Corporation (now known as NortonLifeLock Inc.) and Gregory S. Clark defrauded shareholders by manipulating Symantec's financial reports to create the illusion of stronger-than-actual financial performance and outlook for fiscal years 2017 and 2018, and that Defendant Clark engaged in insider trading by selling shares of Symantec common stock during the Class Period while in possession of material, non-public information in violation of federal securities laws. Defendants deny all of Lead Plaintiff's allegations, and deny any wrongdoing or violation of law. Please note: at this time, there is no judgment, settlement, or monetary recovery.

All persons and entities who were identified as potential members of the Class were previously mailed a Notice of Pendency of Class Action dated June 26, 2020 (the "Original Class Notice") during the summer of 2020. If you did not receive the Original Class Notice, a copy is available at www.SymantecSecuritiesLitigation.com or you can obtain one by calling 1-800-949-0026. As set forth in the Original Class Notice, Class Members had the opportunity to request exclusion from the Class if they submitted such a request postmarked by August 25, 2020.

In April 2021, the Court ordered that a Supplemental Notice be mailed to all Class Members in order (a) to inform Class Members of the circumstances described in a recent order of the Court dated April 20, 2021, including that Class Counsel hired Hans Ek, the former Deputy Chief Executive Officer of Lead Plaintiff SEB Investment Management AB; and (b) to provide Class Members with a second opportunity to request exclusion from the Class. The Supplemental Notice includes the full text of the Court's April 20, 2021 order. If you have not received a copy of the Supplemental Notice you can obtain one at www.SymantecSecuritiesLitigation.com or by contacting the Notice Administrator at:

Symantec Securities Litigation c/o A.B. Data, Ltd. P.O. Box 173106 Milwaukee, WI 53217 1-800-949-0206

Inquiries, other than requests for the Original Class Notice and Supplemental Notice, may be made to the following contact information for Class Counsel:

Symantec Securities Litigation blbg@blbglaw.com BERNSTEIN LITOWITZ BERGER & GROSSMANN LLP 1251 Avenue of the Americas New York, NY 10020

If you are a Class Member, you have a second opportunity to decide whether to remain a member of the Class. *If you want to remain a member of the Class, you do not need to do anything at this time other than to retain your documentation reflecting your transactions and holdings in Symantec common stock*. If you are a Class Member and do not exclude yourself from the Class, you will be bound by the proceedings in this Action, including all past, present, and future orders and judgments of the Court, whether favorable or unfavorable. If you move, or if the Notice was mailed to an old or incorrect address, please send the Notice Administrator written notification of your new address.

If you ask to be excluded from the Class, you will not be bound by any order or judgment of this Court in this Action, however you will not be eligible to receive a share of any money which might be recovered for the benefit of the Class. If you wish to exclude yourself from the Class, you must submit a written request for exclusion postmarked **no later than July 2, 2021**, in accordance with the instructions set forth in the Supplemental Notice. **PLEASE NOTE:** if you previously requested exclusion from the Class in response to the Original Class Notice, you do NOT need to re submit your request. A list of the persons and entities who previously requested exclusion from the Class ("List of Previous Opt-Outs") is available at www.SymantecSecuritiesLitigation.com.

Further information regarding this notice may be obtained by writing to the Notice Administrator at the address provided above.

PLEASE DO NOT CONTACT THE COURT REGARDING THIS NOTICE.

BY ORDER OF THE COURT:

United States District Court for the Northern District of California

Dancers flex their magnificent muscles

Newly reopened programmes from the Royal Ballet and English National Ballet bring dance back to the stage at last. Louise Levene reports

hile the rest of us grew fat on banana bread and box sets, the world's ballet dancers took class via Zoom and did barre exercises in their kitchens. Any fears that this might have atrophied their muscles and their stamina were dispelled this week by the two comeback programmes danced by the English National and Royal Ballet companies.

The five new commissions in *Reunion* were originally created as pay-per-view films for ENB's all-dancing digital platform, and Monday's premiere was the first time Tamara Rojo's dancers had faced an audience since January 2020.

Former Bolshoi and San Francisco Ballet dancer Yuri Possokhov gave us Senseless Kindness, set to Shostakovich's Piano Trio No 1 (exquisitely played by Julia Richter, Matthew Scrivener and Garry Stevens) and inspired by Vasily Grossman's novel Life and Fate. The duets are suitably angst-ridden, and Possokhov rides the music with confidence and floods the stage with virtuoso steps. Isaac Hernández is a tender partner for Alison McWhinney and makes easy work of the countless skaterly pirouettes.

Company dancer Stina Quagebeur's *Take Five Blues* is a brisk workout for eight dancers that skitters busily across Nigel Kennedy's take on Dave Brubeck's ultra-lounge classic. Sidi Larbi Cherkaoui's *Laid in Earth* is a doomy quartet set to the (indifferently sung) lament from Purcell's *Dido and Aeneas*. Full use is made of Precious Adams's long, seemingly boneless limbs and weightless jump, but the narrative is well-nigh impenetrable.

Russell Maliphant's *Echoes* features a flickering video installation by Panagiotis Tomaras which strafes the stage with fitful shafts of white light while the seven dancers twirl and swirl to some Armenian woodwind. Maliphant's dancing-in-the-dark fixation has been a cliché for quite a while now. The results can be beguiling and mysterious but the real mystery is why a classically trained dancemaker should be so unwilling to exploit—let alone celebrate—the talents and resources of a major ballet company.

Arielle Smith's Jolly Folly, a larky,



Sambé and Natalia Osipova in Kyle Abraham's 'Optional Family'

Marcelino

spring-driven homage to the physical comedy of silent cinema, set to the Klazz Brothers' Tchaikovsky cha-cha, was danced with wit and panache by the whole ensemble and ended the short evening on an exultant high. ****

Meanwhile, two postcodes away, Covent Garden was presenting its own quadruple bill of 21st-century dance, beginning with Christopher Wheeldon's celestial In the Golden Hour. This seamlessly crafted 2008 ballet blends classroom steps with a magpie mix of styles in which goofy, flex-footed lifts resolve into perfect arabesques the instant those satin toes reclaim the floor. A strong cast led by Vadim Muntagirov and Anne Rose O'Sullivan made light work of their joyous, inventive pas de deux and soloists Leo Dixon and David Yudes were an unlikely but flawless pairing in their buoyant double act.

Kyle Abraham's *Optional Family: a divertissement* is a bittersweet nineminute *bonne bouche* for Natalia Osipova, Marcelino Sambé (an inspired



ENB in Arielle Smith's 'Jolly Folly'

pairing) and rising star Stanislaw Wegrzyn. The New York choreographer takes the classical vocabulary and has no end of fun with it, subverting classroom steps with jazzy accents and a sinuous, almost orientalist *port de bras*. A spoken prologue in which an unhappy couple enumerate their grievances isn't at first reflected in the pairwork but gradually the relationship sours and the lovers and their mysterious intermediary — a child? a symbol of lost love? — melt from view.

The two Crystal Pite works that close the programme were originally written for Nederlands Dans Theater. *The Statement* (2016), like *Revisor* and the Olivier Award-winning *Betroffenheit*, has the dancers sync their steps and gestures to writing by Jonathon Young. In this case, it's a playlet in which four office workers meet for a management crisis meeting, and two underlings (Ashley Dean and a mercurial Joseph Sissens) are bullied into taking responsibility for a blunder. The set-up is unnerving, the text clever, the movement sharp — but it felt slightly out of place.

Solo Echo, an elegiac 2012 ballet on the theme of winter, had far meatier dance content. Marcelino Sambé dominates the snowy stage while his six colleagues in baggy pinstriped trousers find unsuspected patterns in Brahms's sonatas for cello and piano. Their plastic groupings hint at pietàs and depositions, their tight-knit conga lines allow motifs to be relayed from one body to the next. Any ballet by Pite is a welcome acquisition, but Wheeldon's Golden Hour might have made a more uplifting finale for this very happy return. ★★★☆

'ENB at Sadler's Wells' to May 30, ballet.org.uk; '21st Century Choreographers' to May 30, and streaming May 28-June 27, roh.org.uk

EXHIBIT D

Bernstein Litowitz Berger & Grossmann LLP Announces Supplemental Notice of Pendency of Class Action on Behalf of Purchasers of Symantec Corporation Common Stock

NEWS PROVIDED BY **Bernstein Litowitz Berger & Grossmann LLP →**May 18, 2021, 10:00 ET

NEW YORK, May 18, 2021 /PRNewswire/ --

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

SEB INVESTMENT MANAGEMENT AB,

individually and on behalf of all other similarly situated,

Plaintiffs,

. .

SYMANTEC CORPORATION and

GREGORY S. CLARK,

Defendants

Case No. 3:18-cv-02902-WHA

SUMMARY SUPPLEMENTAL NOTICE OF PENDENCY OF CLASS ACTION

To: All persons and entities who purchased or otherwise acquired publicly-traded common stock of Symantec Corporation ("Symantec") during the period from May 11, 2017, to August 2, 2018, inclusive (the "Class Period"), and who were damaged thereby (the "Class").

YOU ARE HEREBY NOTIFIED, pursuant to Rule 23 of the Federal Rules of Civil Procedure and an Order of the United States District Court for the Northern District of California that the above-captioned action (the "Action") has been certified to proceed as a class action on behalf of the Class as defined above.

In the Action, Lead Plaintiff alleges that Defendants Symantec Corporation (now known as NortonLifeLock Inc.) and Gregory S. Clark defrauded shareholders by manipulating Symantec's financial reports to create the illusion of stronger-than-actual financial performance and outlook for fiscal years 2017 and 2018, and that Defendant Clark engaged in insider trading by selling shares of Symantec common stock during the Class Period while in possession of material, non-public information in violation of federal securities laws. Defendants deny all of Lead Plaintiff's allegations, and deny any wrongdoing or violation of law. <u>Please note</u>: at this time, there is no judgment, settlement, or monetary recovery.

All persons and entities who were identified as potential members of the Class were previously mailed a Notice of Pendency of Class Action dated June 26, 2020 (the "Original Class Notice") during the summer of 2020. If you did not receive the Original Class Notice, a copy is available at www.SymantecSecuritiesLitigation.com or you can obtain one by calling 1-800-949-0026. As set forth in the Original Class Notice, Class Members had the opportunity to request exclusion from the Class if they submitted such a request postmarked by August 25, 2020.

In April 2021, the Court ordered that a Supplemental Notice be mailed to all Class Members in order (a) to inform Class Members of the circumstances described in a recent order of the Court dated April 20, 2021, including that Class Counsel hired Hans Ek, the former Deputy Chief Executive Officer of Lead Plaintiff SEB Investment Management AB; and (b) to provide Class Members with a second opportunity to request exclusion from the Class. The Supplemental Notice includes the full text of the Court's April 20, 2021 order. If you have not received a copy of the Supplemental Notice you can obtain one at www.SymantecSecuritiesLitigation.com or by contacting the Notice Administrator at:

Symantec Securities Litigation c/o A.B. Data, Ltd. P.O. Box 173106 Milwaukee, WI 53217 1-800-949-0206 Inquiries, other than requests for the original class Notice and Supplemental Notice, may be made to the following contact information for Class Counsel:

If you are a Class Member, you have a second opportunity to decide whether to remain a member of the Class. If you want to remain a member of the Class, you do not need to do anything at this time other than to retain your documentation reflecting your transactions and holdings in Symantec common stock. If you are a Class Member and do not exclude yourself from the Class, you will be bound by the proceedings in this Action, including all past, present, and future orders and judgments of the Court, whether favorable or unfavorable. If you move, or if the Notice was mailed to an old or incorrect address, please send the Notice Administrator written notification of your new address.

Symantec Securities Litigation blbg@blbglaw.com

BERNSTEIN LITOWITZ BERGER & GROSSMANN LLP

1251 Avenue of the Americas New York, NY 10020 1-800-380-8496

If you ask to be excluded from the Class, you will not be bound by any order or judgment of this Court in this Action, however you will not be eligible to receive a share of any money which might be recovered for the benefit of the Class. If you wish to exclude yourself from the Class, you must submit a written request for exclusion postmarked **no later than July 2, 2021**, in accordance with the instructions set forth in the Supplemental Notice. **PLEASE NOTE:** if you previously requested exclusion from the Class in response to the Original Class Notice, you do NOT need to re submit your request. A list of the persons and entities who previously requested exclusion from the Class ("List of Previous Opt-Outs") is available at www.SymantecSecuritiesLitigation.com.

Further information regarding this notice may be obtained by writing to the Notice Administrator at the address provided above.

Case 3:18-cv-02902-WHA Document 397-4 Filed 07/19/21 BY PORDER OF THE COURT:

United States District Court for the Northern District of California

SOURCE Bernstein Litowitz Berger & Grossmann LLP

Exhibit E

1.	Philip J. Altpeter and Nancy C. Altpeter Westminster, CO	12.	Daniel Chung Jersey City, NJ
2.	Lyle Anderson Spokane, WA 99224	13.	Rodney Clark San Antonio, TX
3.	Ivan Baraznenok Bothell, WA	14.	Roderick Clarke and Barbara Clarke Madison, WI
4.	Rene C. Bilodeau and Naomi D. Black El Cerrito, CA	15.	David Cote East Hartford, CT
5.	Samuel Broda Dobbs Ferry, NY	16.	Nadine M. Dawson Bothell, WA
6.	Laura Cagliero Coeur d'Alene, ID	17.	Michael Dean Torrance, CA
7.	Castlekeep Revocable Trust James J. Dodge and Julie A. Dodge, Co-Trustees Houston, TX	18.	Robert E. Dempsey Kent, WA
8.	Terri R. Chamberlain Duvall, WA	19.	Diane L. Denny Corpus Christi, TX
9.	Roger P. Charleville Morrow, OH	20.	Michael F. Fitzgerald Jr. Upper Darby, PA
10.	Hitesh Chauhan South Elgin, IL	21.	Alec Fletes San Clemente, CA
11.	Kyle Chin San Jose, CA	22.	Jacqueline Camilla Forster Winchester, Hampshire UNITED KINGDOM

23.	Yogesh Rajaram Gangurde Fremont, CA	36.	Helen G. Litton, Trustee U/W Ronald C. Litton Bellingham, WA
24.	Vishnu Gautam Edina, MN	37.	Stephen A. Lundgren and Cynthia A. Lundgren Camano Island, WA
25.	Peter Guritza, Jr. Henrico, VA	38.	Karim Madatali Saint Louis, MO
26.	Aschalew Haile Alpharetta, GA	39.	Richard McCluney Half Moon Bay, CA
27.	Stephanie Hardy Marietta, GA	40.	Kimberly A. McFarland Morrow, OH
28.	Blake Heckler Valparaiso, IN	41.	Gayle I. McNeill Concord, CA
29.	David H. Henderson Creswell, OR	42.	Donald R. Neuman and Angela W. Neuman Hattiesburg, MS
30.	Arsalan Hussain Torrance, CA	43.	Chau Nguyen Hayward, CA
31.	Eddie Ignacio and Lilliam Torres Acosta Louisville, KY	44.	Shirleann Nold Issaquah, WA
32.	Elizabeth A. Kasper Evanston, IL 60201	45.	Deborah J. Novakowski Parrish, FL
33.	William H. Kelly Jr. Columbus, OH	46.	Louis M. Pacchiana Frederick, MD
34.	Jeffrey W. Lanning Oakwood, OH	47.	Ghanshyambhai Patel Knoxville, TN
35.	Lynn B. Leopold Ithaca, NY	48.	Amy Pawl-Frederico Beaverton, OR

49.	Jorge J. Pesquera Viera, FL	62.	Jingnan Si Rancho Palos Verdes, CA
50.	Barry Pries Ellensburg, WA	63.	Nanhi Singh and Debashish Sinha Menlo Park, CA
51.	Edwin J. Prior Poquoson, VA	64.	Julie Rose Skoglund Dacula, GA
52.	Petra Radulovic Yorktown, VA	65.	Jana B. Sorenson Salt Lake City, UT
53.	Kay L. Rees Lafayette, LA	66.	Gregory J. Stein San Jose, CA
54.	Paulo Rhor Austin, TX	67.	Steven K. Stierwalt Prescott, AZ
55.	Jana Marie Rosar Denver, CO	68.	David Straus Carlsbad, CA
56.	Leo Saperstein Rye, NY	69.	Dillon Suzuki Herndon, VA
57.	Carolyn Browning Schumacher & Cary Bryan Schumacher Trustees Schumacher Family TR UA 02/12/2020 Saint George, UT	70.	Nina C. Takei Pasadena, CA
58.	Marilyn Scraver Muskegon, MI	71.	Ken Watson St. Louis, MO
59.	Larry Selleck Seattle, WA	72.	Jay Scott Woempner Winston Salem, NC
60.	Thomas Sette, Jr, and Gayle B. Sette Tucson, AZ		
61.	Margaret Murphy Shaddix and William Stanley Shaddix Port Orange, FL		

Exhibit F

1.	Allen J. Crawford Elroy, AZ
2.	Kendrah Gallardo Kapaa, HI
3.	Moshe Miller Gan Yavne, ISRAEL
4.	Naveena San Jose, CA
5.	Catherine Tan Mundelein, IL